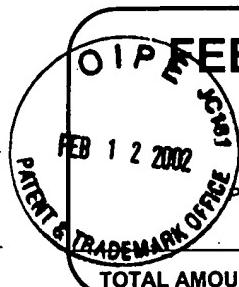


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OPI FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 25.00)

Complete if Known	
Application Number	10,006,929
Filing Date	December 6, 2001
First Named Inventor	Brown, William P.
Examiner Name	
Group Art Unit	2131
Attorney Docket No.	019417-000120US

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number

20-1430

Deposit Account Name

Townsend and Townsend and Crew LLP

- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
 Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed:

Check Credit card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	370	Utility filing fee	
106 330	206 165	165	Design filing fee	
107 510	207 255	255	Plant filing fee	
108 740	208 370	370	Reissue filing fee	
114 160	214 80	80	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims	-20**	=	Extra Claims	X	Fee from below	=	Fee Paid
Independent Claims	-3**	=		X		=	
Multiple Dependent				X		=	

Large Entity Fee Code (\$)	Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	9	Claims in excess of 20
102 84	202 42	42	Independent claims in excess of 3
104 280	204 140	140	Multiple dependent claim, if not paid
109 84	209 42	42	** Reissue independent claims over original patent
110 18	210 9	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

** or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	65	Surcharge - late filing fee or oath	
127 50	227 25	25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	130	Non-English specification	
147 2,520	147 2,520	2,520	For filing a request for reexamination	
112 920*	112 920*	920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	55	Extension for reply within first month	
116 400	216 200	200	Extension for reply within second month	
117 920	217 460	460	Extension for reply within third month	
118 1,440	218 720	720	Extension for reply within fourth month	
128 1,960	228 980	980	Extension for reply within fifth month	
119 320	219 160	160	Notice of Appeal	
120 320	220 160	160	Filing a brief in support of an appeal	
121 280	221 140	140	Request for oral hearing	
138 1,510	138 1,510	1,510	Petition to institute a public use proceeding	
140 110	240 55	55	Petition to revive – unavoidable	
141 1,280	241 640	640	Petition to revive – unintentional	
142 1,280	242 640	640	Utility issue fee (or reissue)	
143 460	243 230	230	Design issue fee	
144 620	244 310	310	Plant issue fee	
122 130	122 130	130	Petitions to the Commissioner	
123 50	123 50	50	Petitions related to provisional applications	
126 180	126 180	180	Submission of Information Disclosure Stmt	
581 40	581 40	40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	370	Request for Continued Examination (RCE)	
169 900	169 900	900	Request for expedited examination of a design application	
Other fee (specify): Applicant's error.				\$25.00

The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$0)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Gerald T. Gray	Registration No. (Attorney/Agent)	41,797	Telephone	925-472-5000
Signature	<i>Gerald T. Gray</i>			Date	1/17/02

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. WC 9036544 v1



COPY OF PAPER
ORIGINALLY FILED

3

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Assistant Commissioner for Patents Washington, D.C. 20231

on

By:

Eric M. Zeggaras

PATENT
Attorney Docket No.: 019417-000120US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

William P. Brown, et al.

Application No.: 10/006,929

Filed: 12/06/2001

For: METHODS AND SYSTEMS FOR
IMPLEMENTING SHARED DISK ARRAY
MANAGEMENT FUNCTIONS

Examiner: Unassigned

Art Unit: 2131

REQUEST FOR CORRECTED FILING
RECEIPT

Assistant Commissioner for Patents
Washington, D.C. 20231
ATTN: Customer Correction Branch
Application Processing Division

Sir:

Attached is a copy of the official Filing Receipt received from the Patent and Trademark Office in the above-noted application for which issuance of a corrected filing receipt is respectfully requested.

There is also an error in one of the applicant's name, which should read as follows:
RON UNRAU

The correction is due to applicant's error and the fee therefor, pursuant to 37 CFR §1.19(h), of \$25.00 is to be charged to Deposit Account No. 20-1430. Please charge any additional fees or credit overpayment to the Deposit Account No. 20-1430. This Petition is submitted in triplicate.

Respectfully submitted,
Gerald T. Gray
Gerald T. Gray
Reg. No. 41,797

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
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GTG/gmz/wc 9036511 v1



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019417 000120US

Page 1 of 2

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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
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10/006,929 12/06/2001 2131 0.00 019417-000120US 25 16 4

20350
TOWNSEND AND TOWNSEND AND CREW, LLP
TWO EMBARCADERO CENTER
EIGHTH FLOOR
SAN FRANCISCO, CA 94111-3834

JAN 11 2002
TOWNSEND AND TOWNSEND
AND CREW, LLP
FILING RECEIPT
CONFIRMATION NO. 9109
OC000000007227976

Date Mailed: 12/26/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

William P. Brown, Bellevue, WA;
Michael B. Mathews, Kirkland, WA;
Unrau Ron, Edmonton, CANADA;
Ron Unrau

Assignment For Published Patent Application

YOTTA YOTTA, INC., Kirkland, WA;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/657,258 09/07/2000
WHICH IS A CON OF 09/261,906 03/03/1999 PAT 6,148,414

Foreign Applications**If Required, Foreign Filing License Granted 12/21/2001****Projected Publication Date:** To Be Determined - pending completion of Missing Parts**Non-Publication Request:** No**Early Publication Request:** No**** SMALL ENTITY ****

Title

Methods and systems for implementing shared disk array management functions

Preliminary Class

714

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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